Prospective Client Profile
Financial Institution / Corporation / Foundation / Charity

PCM BROKERS

PROSPECTIVE CLIENT PROFILE / KYC COVERSHEET **Where 'Client' is a regulated financial institution or a corporation, foundation or charity.**	**Affix recentsize your passport photograph**

1. Name of Client Contact:	
2. Relationship to corporate entity: Mobile No: House/Office No: Fax No: Address:	
3. Introduction	
Known to:	Yrs/Months:
Referred by	Related to:
Solicited based on recommendation from:	
4. Bank Account details	
Bank Name:	Branch:
Date since (DDMMYY) ___/ __ / ____	A/c No.:
5. Account Type *(Tick suitable)*	
Regulated Financial Institution ☐	Corporation / Foundation / Charity ☐
6. Profile (Tick relevant boxes)	

Short/Long-term investment ☐	Pricing/Delivery ☐
Hedging ☐	Arbitrage ☐
Other ☐ *(please describe)*	

7. Corporation / Foundation / Charity

Business Name:

Type of business:

8. Financial Information

Estimated total balance-sheet assets:

Origin of assets deposited into account::

Source of information:

9. Estimated Daily Transactions

6 contracts or less ☐	7 – 20 ☐
21 – 50 ☐	more than 50 ☐

Other information (i.e. projected future turnover):

10.Are any of the entity's major shareholders politically exposed* persons?

*{i.e. are/were they – a senior military, government or political official of any country? A senior executive of a state-owned corporation, or an immediate family member or close associate of such a person?}

Yes ☐ No ☐ Not Sure ☐

If 'YES' or 'NOT SURE' please provide below any known details

Addition Information/Continuation 1 -10

Prospective Client Profile
Financial Institution / Corporation / Foundation / Charity

PCM BROKERS

Information Captured By	**:**	
Name	:	
Signature	:	
Date	:	
Information Supplied By	**:**	
Name	:	
The information supplied is correct to the best of my knowledge		
Signature	:	
Date		